## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person <sup>*</sup> Ben-Natan Nimrod					2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [ HLIT ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last)	(Last) (First) (Middle) 2590 ORCHARD PARKWAY				3. Date of Earliest Transaction (Month/Day/Year) 02/15/2024							X	X Officer (give title Other (specify below) below) SVP & GM, Broadband Business					
					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Ind Line)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) SAN JOSE CA 95131												X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zip)				ŀ	Rule 10b5-1(c) Transaction Indication													
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															
		Та	able I - Non	-Deriva	tive S	ecuriti	es Aco	quired,	Dis	oosed of,	or Bene	ficially	Owned					
Date				2. Transad Date (Month/Da	Execution Date,			Code (Instr.			Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount (A) or (D)		Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock 02/1				02/15/	2024		М		39,058 A		\$ <mark>0</mark>	402,	402,214		D			
			Table II - I (							osed of, o onvertibl			wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	Code	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(A) (D) Date Expiration Date Title		Amount or Number of Shares		Transacti (Instr. 4)							
Restricted Stock Units <sup>(1)</sup>	\$0	02/15/2024		М			9,047	02/15/2022		02/15/2024	Common Stock	9,047	\$ <b>0</b>	0		D		
Restricted Stock Units <sup>(1)</sup>	\$0	02/15/2024		М			6,798	02/15/2023		02/15/2025	Common Stock	6,798	\$ <b>0</b>	27,192		D		
Restricted Stock Units <sup>(1)</sup>	\$0	02/15/2024		М			23,213	02/15/2024		02/15/2026	Common Stock	23,213	\$ <b>0</b>	46,425		D		
Restricted Stock Units <sup>(1)</sup>	\$ <u>0</u>	02/16/2024		Α		80,710		02/15/20	25 <sup>(2)</sup>	02/15/2027	Common Stock	80,710	\$ <b>0</b>	80,71	0	D		

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of HLIT common stock.

2. One third (33.33%) of the Shares subject to the Restricted Stock Units are scheduled to vest on 2/15/2025, and approximately 8.33% of the remaining Restricted Stock Units will vest each three months thereafter, so as to be 100% vested on the third anniversary of the RSU Vesting Commencement Date.

## /s/ Wendi Ninh, Attorney-in-Fact 02/20/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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