SEC Form 4

 \Box

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number:

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	tions may continution 1(b).	nue. See		File							ies Exchar mpany Act	nge Act of 1 of 1940	1934		hours	s per res	ponse:	0.5		
1. Name and Address of Reporting Person [*] Graham Ian							2. Issuer Name and Ticker or Trading Symbol <u>HARMONIC INC</u> [HLIT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 2590 ORCHARD PARKWAY							of Earli 2023	est Trar	isaction (N	1onth/	Day/Year)		X Officer (give title Other (sp below) below) SVP, Global Sales & Video Svo							
(Street) SAN JOSE CA 95131					- 4. II	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Line) X Form filed by One Reporting Per Form filed by More than One R Person											orting Perso	n		
(City) (State) (Zip)							Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Attive Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3) 2. Transac Date (Month/Dat						Executio			Code	Transaction Dispose Code (Instr. 5)		ities Acquired (A) or d Of (D) (Instr. 3, 4 a		Benefic Owned	es ially Following	Form:	Direct Indirect Istr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										v	Amount	(A) o (D)	r Price	Reporte Transac (Instr. 3	tion(s)			Instr. 4)		
Common Stock 11/15/2									М	<u> </u>	<u> </u>	12,117 A		_	136,466		D			
							023 F 6,614 D \$0.00 129,852 D ve Securities Acquired, Disposed of, or Beneficially Owned ts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	ed Date,	4. Transaction Code (Instr 8)		5. Number n of		6. Date Expiratio	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	iy Direct or Ind (I) (Ins	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	D) Beneficial Ownership ect (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisat		Expiration Date	Title	Amount or Number of Shares							
Restricted Stock Units	\$0.00	11/15/2023			М			6,918	02/15/20	22 ()2/15/2024	Common Stock	6,918	\$0.00	6,918	3	D			
Restricted Stock Units	\$0.00	11/15/2023			М			5,199	02/15/20	23 (02/15/2025	Common Stock	5,199	\$0.00	25,99	2	D			

Explanation of Responses:

Remarks:

/s/ Wendi Ninh, Attorney-in-

Fact

11/17/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.